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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/733,141

Filing Date

12/8/2000

First Named Inventor

Mazza et al.

Art Unit

2676

Examiner Name

H. Nguyen

Attorney Docket Number

3287

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Response to Missing Parts/
Incomplete Application



Response to Missing Parts
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

Remarks



After Allowance communication
to Group



Appeal Communication to Board



of Appeals and Interferences



Appeal Communication to Group



(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Michael P. Mazza, LLC

Signature

MP Mazza

Date

12/10/03

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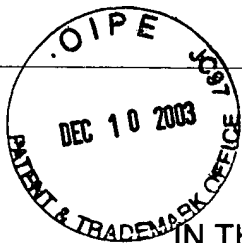
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

THOMAS A. MAZZA ET AL.

SERIAL NO.: 09/733,141

FILED: 12/08/2000

FOR: TRADING SYSTEM CONTROLLER

Group Art Unit: 2676

Examiner: H. Nguyen

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RULE 116 AMENDMENT

Commissioner of Patents
P.O. Box 1450
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IN THE CLAIMS:

1. (Currently amended) A control unit sized to permit it to be hand-held during the performance of trading operations, the control unit being in electrical communication with one or more computers for use in performing electronic trading operations, the one or more computers communicating with at least one visual display for displaying to an operator of the control unit trading-related information, comprising:

trading control buttons having differing shapes, sizes and/or textures to provide the operator with tactile feedback sufficient to allow the operator to distinguish between the trading control buttons substantially without visually focusing on the buttons during trading operations;

wherein allowing the operator can to perform trading operations without the need for sliding the control unit over a surface and permitting the operator to maintain substantially constant visual contact with the at least one visual display,